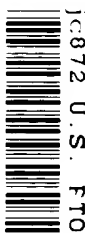


12/29/00



1c872 U.S. PTO

Please use a black ink pen to fill in this box.

Approved for use through 12/31/2000. Modified 12/31/00
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

On the front cover of the application, the inventor's name and the title of the invention must be printed in large, bold, black letters. The title of the invention must be printed in large, bold, black letters. The inventor's name must be printed in large, bold, black letters. The title of the invention must be printed in large, bold, black letters.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390P10309

First Inventor Venkatesan Murali

Title Multi Level Waveguide

Express Mail Label No. EI 485756902US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- 1 ☒ Fee Transmittal Form (e.g. PTO SB 17)
- 2 ☐ Applicant claims small entity status
See 37 CFR 1.27
- 3 ☒ Specification (Total Pages 18)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed-sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
- 4 ☒ Drawing(s) 35 U.S.C. 113 Total Sheets 12
- 5 Oath or Declaration Total Pages
- a ☐ Newly executed (original or copy)
- b ☐ Copy from a prior application (37 CFR 1.63(d))
for continuation or divisional with Box 1a completed
- ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor's named in the prior application, see 37 CFR 1.63(d)(2) and 1.133
- c ☐ Application Data Sheet (See 37 CFR 1.76)

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 7 ☐ CD-ROM or CD-R in duplicate (large table or Computer Program (Appendix))
- 8 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a ☐ Computer Readable Form (CRF)
- b ☐ Specification Sequence Listing on
- i ☐ CD-ROM or CD-R (2 copies) or
 - ii ☐ paper
- c ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9 ☐ Assignment Papers (cover sheet & documents)
- 10 ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- 11 ☐ English Translation Document (if applicable)
- 12 ☐ Information Disclosure Statement (IDS) PTC-1449 ☐ Copies of IDS Citations
- 13 ☐ Preliminary Amendment
- 14 ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 15 ☐ Certified Copy of Priority Document(s) (foreign priority is claimed)
- 16 ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(iii) Applicant must attach form PTC/SB 35 or its equivalent
- 17 ☐ Other

18 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part ☐ Other (specify)

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Mailing Address	008791 (Insert Customer No. or Attach bar code label here)		<input type="checkbox"/> Business Reply Mail
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print Type)	John Travis	Registration No. Attorney/Agent	43203
Signature	<i>[Signature]</i>	Date	December 29, 2000

This form is to be filled out by the inventor or the attorney/agent for the inventor. It is to be filled out for all utility patent applications, including continuation, divisional, and continuation-in-part applications. It is to be filled out for all nonprovisional utility patent applications, including those filed under 35 U.S.C. 111(a)(2). It is to be filled out for all utility patent applications, including those filed under 35 U.S.C. 111(a)(2). It is to be filled out for all utility patent applications, including those filed under 35 U.S.C. 111(a)(2).

1c882 U.S. PTO

09/751516



12/29/00

FEE TRANSMITTAL for FY 2001

Rate of fees in dollars, cents and fractional cents

TOTAL AMOUNT OF PAYMENT

(\$) 1050.00

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Venkatesan Murali
Examiner Name	Not Yet Assigned
Group Art Unit	Not Yet Assigned
Attorney Docket No	42390P10309

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fee to the following credit account:

Account Number

02-2666

Account Name

Blakely Sokoloff Taylor & Zisman

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101-71	201-355	Utility filing fee	710
102-72	202-36	Design filing fee	
103-73	203-248	Reissue filing fee	
104-74	204-195	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
30	20** = 10	18	180
5	3** = 2	80	160
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101-71	201-355	Utility filing fee	
102-72	202-36	Design filing fee	
103-73	203-248	Reissue filing fee	
104-74	204-195	Provisional filing fee	

SUBTOTAL (2) (\$) 340.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
101-71	201-355	Utility filing fee	
102-72	202-36	Design filing fee	
103-73	203-248	Reissue filing fee	
104-74	204-195	Provisional filing fee	
105-75	205-135	Nonworking subdivision	
106-76	206-252	For filing a request for examination	
107-77	207-92	Requesting publication of S.R. after Examiner action	
108-78	208-184	Requesting publication of S.R. after Examiner action	
109-79	209-77	Extension for reply within first month	
110-80	210-195	Extension for reply within second month	
111-81	211-445	Extension for reply within third month	
112-82	212-645	Extension for reply within fourth month	
113-83	213-945	Extension for reply within fifth month	
114-84	214-155	Notice of Appeal	
115-85	215-155	Filing a brief in support of an appeal	
116-86	216-135	Request for oral hearing	
117-87	217-155	Petition to institute a reexamination	
118-88	218-55	Petition to revive an abandoned application	
119-89	219-55	Petition to revive an abandoned application	
120-90	220-10	Utility issue fee - the issue	
121-91	221-220	Design issue fee	
122-92	222-310	Plant issue fee	
123-93	223-130	Revisions to the Commissioner	
124-94	224-130	Revisions to the Commissioner	
125-95	225-15	Submission of information to the USPTO	
126-96	226-15	Request for expedited examination	
127-97	227-15	Request for expedited examination	
128-98	228-15	Request for expedited examination	
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